**Question Set G**

**For current and former Financial Conduct Authority employees**

## Please note all of these points before answering:

### We welcome comments and input from FCA employees past and present about this Call for Evidence and we value your input and opinions.

### If you have any questions about any aspect of this initiative please contact Andy Agathangelou, Chair of the APPG’s Secretariat, at [Email](mailto:andy.agathangelou@transparencytaskforce.org?subject=%20My%20submission%20to%20the%20Call%20for%20Evidence%20about%20the%20FCA). If you prefer to telephone him, use 07501 460308.

### If you prefer to remain anonymous please state this in your response and note that you are free to skip questions if you wish; for example you can skip the question about your name. If you want to remain anonymous, we suggest you exclude any information about you or your department that might enable you to be identified.

### Whilst we prefer to receive your response as a Word document you are welcome to provide it as a PDF. You may also provide your response into the body of an Email.

### Only reply to this question set if it applies to you i.e. if you are a current/former employee of the FCA

### This Call for Evidence is being handled by the APPG’s [Secretariat](https://appgonpersonalbankingandfairerfinancialservices.org/about-us/secretariat) and support staff, who are the data controller for GDPR purposes. The Secretariat will collate the evidence and provide it to [the APPG’s members](https://appgonpersonalbankingandfairerfinancialservices.org/about-us/members). We seek to abide by the highest ethical standards when handling the information that is provided - respondents’ information will only be shared as necessary to enable the successful completion of the exercise and it will not be shared beyond the scope of this Call for Evidence.

### Please note that we ask respondents to only give evidence that they are free to provide. It is entirely the responsibility of the respondent to ensure they take into account any agreement(s) they may have entered into, such as a Confidentiality Agreement or a Non-Disclosure Agreement.

## Whistleblowing

### Because we are not in a position to give legal or professional advice in relation to matters about whistleblowing if you

### want more information about whistleblowing or how to make protected disclosure; and/or

### feel you need support, help, advice or guidance on any issues to do with whistleblowing

### ...one of the courses of action you may wish to consider is to liaise with a specialist whistleblowing organisation such as WhistleblowersUK (<https://www.wbuk.org/#overview>) who can be contacted by emailing [helpme@wbuk.org](mailto:helpme@wbuk.org)

### Furthermore, you may choose to select the question set relating to Whistleblowing (E) instead of or as well as this one. You can [download it here](https://appgonpersonalbankingandfairerfinancialservices.org/call-for-evidence-about-the-fca).

## The process to follow

### You may respond to more than one question set if you have different experiences of contact with the FCA.

### Download it, as a Word document, and save it on your computer.

### Provide your answers after each question.

### Please leave blank any questions that don’t apply to you or that you do not wish to answer.

### Save the completed document on your computer.

* Please email it to Andy Agathangelou, **by 5pm on Monday 15th November**, at [Email](mailto:andy.agathangelou@transparencytaskforce.org?subject=%20My%20submission%20to%20the%20Call%20for%20Evidence%20about%20the%20FCA)

## Other important points to note

### This exercise is about gathering evidence on what people think about the FCA; it is not about providing any assistance, guidance or advice on any case a respondent may have against the FCA, or any other entity.

### Respondents are asked to only provide answers to the questions given.

### Respondents are asked to not provide any supplementary evidence or documentation.

**Question Set G**

**For current and former Financial Conduct Authority employees**

## Your Details

Name:

(Please type here. Thank you.)

Company/Business (if applicable):

Address including postcode:

Email address (preferably non-FCA):

Mobile telephone number:

## Permissions

* + Do you give permission that your name is put into the public domain?
    - Please enter Yes or No. ……..
  + Do you give permission that your response is put into the public domain?
    - Please enter Yes or No. …….. (With Email address, phone number and postal address redacted).

## Questions

#### 1. Are you a (i) current or (ii) former, employee, contractor or agency-supplied worker at the Financial Conduct Authority?

(Please type your answer here. Thank you.)

#### 2. In what area of the FCA do/did you work??

#### 3. Briefly describe the functions you perform or have performed at the FCA.

#### 4. In broad terms, what has your experience of working at the FCA been like?

#### 5. During your period of employment did you witness any actions or conduct that, in your reasonable belief, breached any law, regulatory code or applicable or relevant policy? If so, please explain which law, code or policy you believe was breached.

#### 6. What example/s can you share about when the FCA has done a good job to protect consumer interests?

#### 7. What example/s can you share about when the FCA has done a poor job to protect consumer interests?

#### 8. What are your thoughts on whether the FCA lacks the powers that it needs; or conversely, that it doesn’t make good use of the powers it already has?

#### 9. Have you experienced any difficulties or shortcomings in your interactions with the FCA?

#### 10. Have you experienced the FCA being reluctant to give clear answers to questions, whether from you, the public or other stakeholders?

#### 11. Have you been in a situation where you could have helped a member of the public with an enquiry but were constrained from doing so as a direct result of an FCA policy or the FCA’s interpretation of an applicable law?

#### 12. What is your perception of the culture of the FCA, and what do you think of it? Does it promote openness, or is there a lack of transparency?

#### 13. Does the culture of the FCA encourage and support staff in raising serious and challenging questions, or does it deter them from doing so?

#### 14. Have you ever

* Raised concerns or complained officially about the FCA?
* Been a current or past whistleblower, or known of others doing so?
* What happened, and how do you feel about what happened?
* What feedback, if any, have you had about your concerns, complaint or whistleblow?
* How helpful was the response?
* How long has it taken for your concerns, complaint or whistleblow to be processed, and how well or badly do you think the matters were responded to?

#### 15. What do you think about the possibility of conflict of interest issues at the FCA?

#### 16. Do you believe the FCA should be made more accountable to the public, Parliament or anyone else? If so, why, and what measures do you think are needed?

#### 17. What positives are there about the FCA that you would like to comment on?

#### 18. If you could change three things about the FCA, what would they be?

#### 19. The FCA is undertaking a Transformation Project. Do you have any comments to make about that?

For example:

* Are staff at all levels invited or allowed to participate and contribute?
* Do you expect this project to alter or influence the culture and policies of the FCA?
* Do you expect consumer protection to be improved?
* How could transformation/improvement of the Regulator be better handled?

#### 20. Are there any other comments that you would like to make?

*~~ END ~~*

*Thank you*